

Form PTO-1449 (modified)

Atty. Docket No.  
UTSK:343USSerial No.  
10/044,650

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant  
Beth A. Goins  
William T. PhillipsFiling Date:  
January 11, 2002Group:  
1645U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
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## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	4,310,505	1/12/82	Baldeschwieler <i>et al.</i>	424	1	11/8/79
	A2	4,789,736	12/6/88	Canning <i>et al.</i>	534	14	3/11/86
	A3	4,863,713	9/5/89	Goodwin <i>et al.</i>	424	1.1	6/23/86
	A4	4,904,479	2/27/90	Illum	424	490	1/15/87
	A5	5,120,526	6/9/92	Fritzberg <i>et al.</i>	424	1.1	2/4/91
	A6	5,482,698	1/9/96	Griffiths	424	141	4/22/93
	A7	5,690,907	11/25/97	Lanza <i>et al.</i>	424	9.5	6/8/95
	A8	5,698,405	12/16/97	Goldenberg	435	7.5	6/1/95
	A9	5,716,594	2/10/98	Elmalch <i>et al.</i>	424	1.41	10/2/96
	A10	5,736,119	4/7/98	Goldenberg <i>et al.</i>	424	1.53	3/23/95
	A11	5,776,094	7/7/98	Goldenberg	604	20	6/1/95
	A12	5,792,475	8/11/98	Davis <i>et al.</i>	424	489	7/28/93

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Alving, "Liposomes as carriers of antigens and adjuvants," <i>J. Immuno Methods</i> , 140:1-13, 1991.
	C2	Barth <i>et al.</i> , "Boron neutron capture therapy of cancer," <i>Cancer Res.</i> , 50:1061-1070, 1990.

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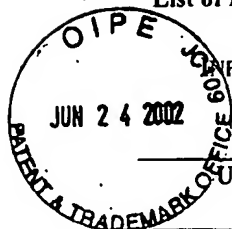
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Exam. Init.	Ref. Des.	Citation
	C3	Bayer and Wilchek, "Avidin- and Streptavidin-containing probes," <i>Methods in Enzymology</i> , 184:174-187, 1990.
	C4	Bookman, "Biologic therapies for gynecologic cancer," <i>Curr. Opin. Oncol.</i> , 7(5):478-484, 1995.
	C5	Chinol <i>et al.</i> , "Biochemical modifications of avidin improve pharmacokinetics and biodistribution, and reduce immunogenicity," <i>Brit. J. Cancer</i> , 78(2):189-197, 1998.
	C6	Coleman, "Chemical sensitizers and protectors," <i>Int. J. Radiation Onc. Biol. Phys.</i> , 42(4):781-783, 1998.
	C7	Corely <i>et al.</i> , "Binding of biotinized-liposomes to streptavidin is influenced by liposome composition," <i>Biochim. Biophys. Acta</i> , 1195:149-156, 1994.
	C8	Cox <i>et al.</i> , "Guidelines for sentinel node biopsy and lymphatic mapping of patients with breast cancer," <i>Ann. Surg.</i> , 227(5):645-653, 1998.
	C9	Daemen <i>et al.</i> , "Liposomes and virosomes as immunoadjuvant and antigen-carrier systems in vaccine formulations," In: <i>Medical Applications of Liposomes</i> , Lasic and Papahadjopoulos (eds), Elsevier Science B.V., 117-143, 1998.
	C10	DeCicco <i>et al.</i> , "Lymphoscintigraphy and radioguided biopsy of the sentinel axillary node in breast cancer," <i>J. Nucl. Med.</i> , 39(12):2080-2084, 1998.
	C11	DeFrees <i>et al.</i> , "Sialyl Lewis X liposomes as a multivalent ligand and inhibitor of E-selectin mediated cellular adhesion," <i>J. Am. Chem. Soc.</i> , 118:6101-6104, 1996.
	C12	DeVita <i>et al.</i> , "Principles of cancer management: cancer genetics," In: <i>Cancer: Principles and Practice of Oncology</i> , 5 <sup>th</sup> ed., J.B. Lippincott Co., Philadelphia, PA, Chapter 14: 285-293, 1997.
	C13	Gabizon <i>et al.</i> , "Targeting folate receptor with folate linked to extremities of Poly(ethylene glycol)-grafted liposomes: in vitro studies," <i>Bioconjugate Chem.</i> , 10:289-298, 1999.
	C14	Goodman <i>et al.</i> , <i>The Pharmacological Basis of Therapeutics</i> , Gilman <i>et al.</i> (eds), Macmillan Publishing Co., New York, 1985.
	C15	Goodwin <i>et al.</i> , "Pre-targeted immunoscintigraphy of murine tumors with indium-111-labeled bifunctional haptens," <i>J. Nucl. Med.</i> , 29(2):226-234, 1988.

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	C16	Gregoriadis <i>et al.</i> , "DNA vaccination: a role for liposomes," In: <i>Medical Applications of Liposomes</i> , Lasic and Papahadjopoulos (eds), Elsevier Science B.V., 61-73, 1998.
	C17	Gregoriadis, "Liposomes as immunological adjuvants," <i>The Theory and Practical Application of Adjuvants</i> , 7:145-169, 1995.
	C18	Griffiths <i>et al.</i> , "Direct radiolabeling of monoclonal antibodies with generator-produced Rhenium-188 for radioimmunotherapy: labeling and animal biodistribution studies," <i>Cancer Res.</i> , 51(17):4594-4602, 1991.
	C19	Hader <i>et al.</i> , "Sentinel lymph node biopsy using lymphoscintigraphy," <i>AORN J.</i> , 68:572-588, 1998.
	C20	Heeremans <i>et al.</i> , "Fibrin binding of plasminogen coated liposomes in vitro," <i>Thromb Haemost.</i> , 75(1):134-139, 1996.
	C21	Hermanson, "Preparation of antibody-liposome conjugates," In: <i>Bioconjugate Techniques</i> , Academic Press, San Diego, CA, p.552-553, 1996.
	C22	Hermanson, "Use of glycolipids and lectins to effect specific conjugations," In: <i>Bioconjugate Techniques</i> , Academic Press, San Diego, CA, p.548-549, 1996.
	C23	Hirle, "Liposomes for drug targeting in the lymphatic system," <i>Hybridoma</i> , 16(1):127-132, 1997.
	C24	Hnatowich <i>et al.</i> , "Improved tumor localization with (strept)avidin and labled biotin as a substitute for antibody," <i>Nucl. Med. Biol.</i> , 20:189-195, 1993.
	C25	Hnatowich <i>et al.</i> , "Investigations of avidin and biotin for imaging applications," <i>J. Nucl. Med.</i> , 28(8):1294-1302, 1987.
	C26	Hope <i>et al.</i> , "Production of large unilamellar vesicles by a rapid extrusion procedures. Characterization of size distribution, trapped volume and ability to maintain a membrane potential," <i>Biochim. Et Biophys. Acta</i> , 812:55-65, 1985.
	C27	Jeong <i>et al.</i> , "Avidin-biotin system for targeting metastases: basic aspects," In: <i>Handbook of Targeted Delivery of Imaging Agents</i> , Chapter 18:305-319, CRC Press, 1995.
	C28	Johnsson <i>et al.</i> , "Optimization of drug loading procedures and characterization of liposomal formulations of two novel agents intended for boron neutron capture therapy (BNCT)," <i>J. Liposome Res.</i> , 9(1):53-79, 1999.

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	C29	Kalofonos <i>et al.</i> "Imaging of tumor in patients with indium-111-labeled biotin and streptavidin-conjugated antibodies: preliminary communication," <i>J. Nucl. Med.</i> , 31(11):1791-1796, 1990.
	C30	Kang and Pardridge, "Use of neutral avidin improves pharmacokinetics and brain delivery of biotin bound to an avidin-monoclonal antibody conjugate," <i>J. Pharm. Experimental Therapeutics</i> , 269:344-350, 1994.
	C31	Klibanov <i>et al.</i> , "Blood clearance of radiolabeled antibody: enhancement by lactosamination and treatment with biotin-avidin or anti-mouse IgG antibodies," <i>J. Nucl. Med.</i> , 29(12):1951-1956, 1988.
	C32	Klibanov, "Targeted delivery of gas-filled microspheres, contrast agents for ultrasound imaging," <i>Adv. Drug Delivery Rev.</i> , 37:139-157, 1999.
	C33	Kohn <i>et al.</i> , "Drug delivery systems for infection: liposome-incorporating antimicrobial drugs," <i>J. Infect Chemother.</i> , 4:159-173, 1998.
	C34	Komura <i>et al.</i> , "Thermal neutron capture therapy of malignant melanoma using 10B-monoclonal antibodies: in vitro and in vivo analysis," <i>Melanoma Res.</i> , 1(5-6):397-403, 1991.
	C35	Kotz, "Advancing medical care: the role of nuclear medicine in radioguided surgery," <i>J. Nucl. Med.</i> , 39(12):13N-21N, 1998.
	C36	Krag <i>et al.</i> , "Surgical resection and radiolocalization of the sentinel lymph node in breast cancer using a gamma probe," <i>Surg. Oncol.</i> , 2:335-339, 1993.
	C37	Krag <i>et al.</i> , "The sentinel node in breast cancer—a multicenter validation study," <i>N. Engl. J. Med.</i> , 339(14):941-946, 1998.
	C38	Krause, "Delivery of diagnostic agents in computed tomography," <i>Adv. Drug Delivery Rev.</i> , 37:159-173, 1999.
	C39	Kung <i>et al.</i> , "Antibody-bearing liposomes improve agglutination of latex particles used in clinical diagnostic assays," <i>Biochim Biophys. Acta</i> , 839:105-109, 1985.
	C40	Lasic and Pearlman, "Liposomes and lipidic particles in gene therapy," In: <i>Vesicles</i> , Marcel Dekker, New York, 477-489, 1996.
	C41	Leserman <i>et al.</i> , "Ligand targeting of liposomes," In: <i>Liposomes from Biophysics to Therapeutics</i> , Ostro (ed), Marcel Dekker, New York, p. 157-194, 1987.
	C42	Lindblad, "Aluminium adjuvants," <i>Theory Prac. Appl. Adjuvants</i> , 2:21-35, 1995.

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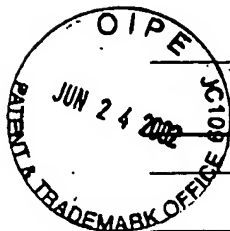
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## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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<i>R</i>	C43	Litzinger and Huang, "Amphipathic poly(ethylene glycol) 5000-stabilized dioleoylphosphatidylethanolamine liposomes accumulate in spleen," <i>Biochim Biophys. Acta</i> , 1127(3):249-254, 1992.
	C44	Magnani <i>et al.</i> , "Quantitative comparison of direct antibody labeling and tumor pretargeting in Uveal melanoma," <i>J. Nucl. Med.</i> , 37(6):967-971, 1996.
	C45	Mayer <i>et al.</i> , "Vesicles of variable sizes produced by a rapid extrusion procedure," <i>Biochim Biophys Acta</i> , 858:161-168, 1986.
	C46	Mayhew <i>et al.</i> , "High-pressure continuous-flow system for drug entrapment in liposomes," <i>Methods Enzym.</i> , 149:64-77, 1987.
	C47	Meares <i>et al.</i> , "Macrocyclic chelates of radiometals for diagnosis and therapy," <i>Br. J. Cancer</i> , 62 (Suppl 10):21-26, 1990.
	C48	Moghimi and Rajabi-Siahboomi, "Advanced colloid-based systems for efficient delivery of drugs and diagnostic agents to the lymphatic tissues," <i>Prog. Biophys. Molec. Biol.</i> , 65:221-249, 1996.
	C49	Moghimi <i>et al.</i> , "Surface engineered nanospheres with enhanced drainage into lymphatics and uptake by macrophages of the regional lymph nodes," <i>FESB Letters</i> , 344:25-30, 1994.
	C50	Morton <i>et al.</i> , "Management of early-stage melanoma by intraoperative lymphatic mapping and selective lymphadenectomy," <i>Surg. Oncol. Clin. N. Am.</i> , 1:247-259, 1992.
	C51	Morton <i>et al.</i> , "Technical details of intraoperative lymphatic mapping for early stage melanoma," <i>Arch. Surg.</i> , 127(4):392-399, 1992.
	C52	New, "Preparation of liposomes," In: <i>Liposomes: A Practical Approach</i> , New (ed), Oxford University Press, NY 33-104, 1990.
	C53	Oehr <i>et al.</i> , "Streptavidin and biotin as potential tumor imaging agents," <i>J. Nucl. Med.</i> , 29:728-729, 1988.
	C54	Ogihara-Umeda <i>et al.</i> , "Rapid diagnostic imaging of cancer using radiolabeled liposomes," <i>Cancer Detection and Prevention</i> , 21(6):490-496, 1997.
<i>✓</i>	C55	Okuhata, "Delivery of diagnostic agents for magnetic resonance imaging," <i>Advanced Drug Delivery</i> , 37:121-137, 1999.

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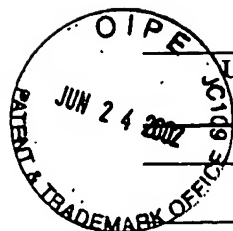
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Dr	C56	Oussoren and Storm, "Lymphatic uptake and biodistribution of liposomes after subcutaneous injection: III. Influence of surface modification with Poly(ethyleneglycol)," <i>Pharm Res.</i> , 14(10):1479-1484, 1997.
	C57	Oussoren <i>et al.</i> , "Lymphatic uptake and biodistribution of liposomes after subcutaneous injection. II. Influence of liposomal size, lipid composition and lipid dose," <i>Biochim. Biophys. Acta</i> , 1328:261-272, 1997.
	C58	Paganelli <i>et al.</i> , "Monoclonal antibody pretargeting techniques for tumour localization: the avidin-biotin system," <i>Nucl. Med. Commun.</i> , 12(3):211-234, 1991.
	C59	Paganelli <i>et al.</i> , "Potential of the avidin-biotin system for diagnostic application," In: <i>Handbook of Targeted Delivery of Imaging Agents</i> , Chap. 17, CRC Press, 289-303, 1995.
	C60	Paganelli <i>et al.</i> , "The three-step pretargeting approach reduces the human anti-mouse antibody response in patients submitted to radioimmunoscintigraphy and radioimmunotherapy," <i>Eur. J. Nucl. Med.</i> , 24(3):350-351, 1997.
	C61	Paganelli <i>et al.</i> , "Three-step monoclonal antibody tumor targeting in carcinoembryonic antigen-positive patients," <i>Cancer Res.</i> , 51(21):5960-5966, 1991.
	C62	Paganelli <i>et al.</i> , "Two-step tumour targeting in ovarian cancer patients using biotinylated monoclonal antibodies and radioactive streptavidin," <i>Eur. J. Nucl. Med.</i> , 19(5):322-329, 1992.
	C63	Papisov <i>et al.</i> , "Drug delivery to lymphatic tissue," <i>Crit Rev. Ther. Drug Carrier Syst.</i> , 13(1&2):57-84, 1996.
	C64	Phillips and Goins, "Targeted delivery of imaging agents by liposomes," In: <i>Handbook of Targeted Delivery of Imaging Agents</i> , Chap. 10, CRC Press, 149-173, 1995.
	C65	Phillips <i>et al.</i> , "Novel method of greatly enhanced delivery of anti-cancer agents to lymph nodes," <i>9<sup>th</sup> Annual Symposium on Cancer Research in San Antonio</i> , Abstract #23, July 16, 1999.
	C66	Pykett, "NMR imaging in medicine," <i>Sci Am.</i> , 246(5):78-88, 1982.
	C67	Rao <i>et al.</i> , "A trivalent system from vancomycin-D-Ala-D-Ala with higher affinity than avidin-biotin," <i>Science</i> , 280(5364):708-711, 1999.

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	C68	Rawls, "Bringing Boron to bear on cancer. Chemists near goal of delivering a billion $^{10}\text{B}$ atoms selectively to cells to target them for destruction via neutron capture therapy," <i>C&amp;EN</i> , 26-29, March 22, 1999.
	C69	Reintgen, "The role of lymphoscintigraphy in lymphatic mapping for melanoma and breast cancer," <i>J. Nucl. Med.</i> , 39(12):22N-36N, 1998.
	C70	Runge <i>et al.</i> , "Paramagnetic agents for contrast-enhanced NMR imaging: a review," <i>Am. J. Roentgeno</i> , 141(6):1209-1215, 1983.
	C71	Schechter <i>et al.</i> , "Indirect immunotargeting of CIS-PT to human epidermoid carcinoma KB using the avidin-biotin system," <i>Int. J. Cancer</i> , 48:167-172, 1991.
	C72	Schuber, "Chemistry of ligand-coupling to liposomes," In: <i>Liposomes as Tools in Basic Research and Industry</i> , Philippot and Schuber (eds.), CRC Press, Boca Raton, 21-39, 1995.
	C73	Sigma Catalog, Alphabetical list of compounds, 149-150, 1999.
	C74	Sinitsyn <i>et al.</i> , "Rapid blood clearance of biotinylated IgG after infusion of avidin," <i>J. Nucl. Med.</i> , 30(1):66-69, 1989.
	C75	Sinkula and Yalkowsky, "Rationale for design of biologically reversible drug derivatives: prodrugs," <i>J. Pharm. Sci.</i> , 64:181-210, 1975.
	C76	Stickney <i>et al.</i> , "Bifunctional antibody: a binary radiopharmaceutical delivery system for imaging colorectal carcinoma," <i>Cancer Res.</i> , 51(24):6650-6655, 1991.
	C77	Strand and Bergqvist, "Radiolabeled colloids and macromolecules in the lymphatic system," <i>Crit. Rev. Ther. Drug Carrier Syst.</i> , 6(3):211-237, 1989.
	C78	Swinyard, "Analgesics and antipyretics," <i>Remington's Pharmaceutical Sciences</i> , 15 <sup>th</sup> ed., pp. 1035-1038 and 1570-1580.
	C79	Tanabe and Reintgen, "The role of sentinel lymph node mapping for melanoma," <i>Adv. Surg.</i> , 31:79-103, 1998.
	C80	Terskikh <i>et al.</i> , "Peptabody: a new type of high avidity binding protein," <i>Proc. Natl. Acad. Sci. USA</i> , 94:1663-1668, 1997.
	C81	Tiong <i>et al.</i> , "Comparison of conventional adjuvants and "adjuvant-free" monoclonal antibody targeting for stimulating antibody responses against a conjugate of luteinizing hormone releasing hormone and avidin," <i>Vaccine</i> , 11(4):425-430, 1993.

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








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	C83	Van der Veen <i>et al.</i> , "Gamma probe-guided sentinel node biopsy to select patients with melanoma for lymphadenectomy," <i>Br. J. Surg.</i> , 81(12):1769-1770, 1994.
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	C85	Weiss <i>et al.</i> , <i>Lymphatic System Metastasis</i> , Hall, Boston, 1980.
	C86	Wilbur <i>et al.</i> , "Biotin reagents for antibody pretargeting. 3. Synthesis, radioiodination, and evaluation of biotinylated starburst dendrimers," <i>Bioconjugate Chem.</i> , 9:813-825, 1998.
	C87	Wolf, "Specific imaging agents for lymph nodes," In: <i>Handbook of Targeted Delivery of Imaging Agents</i> , Chap. 21, 365-384, CRC Press, 1995.
	C88	Woodle and Leserman, "Liposomal antisense oligonucleotide therapeutics," <i>Lasic and Papahadjopoulos (eds.) Medical Applications of Liposomes</i> , Elsevier Science B.V., 429-449, 1998.
	C89	Yoffrey and Courtice, <i>Lymphatics, lymph and the lymphomyeloid complex</i> , Academic Press, London, 1970.
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